

PwC's risk assurance solutions

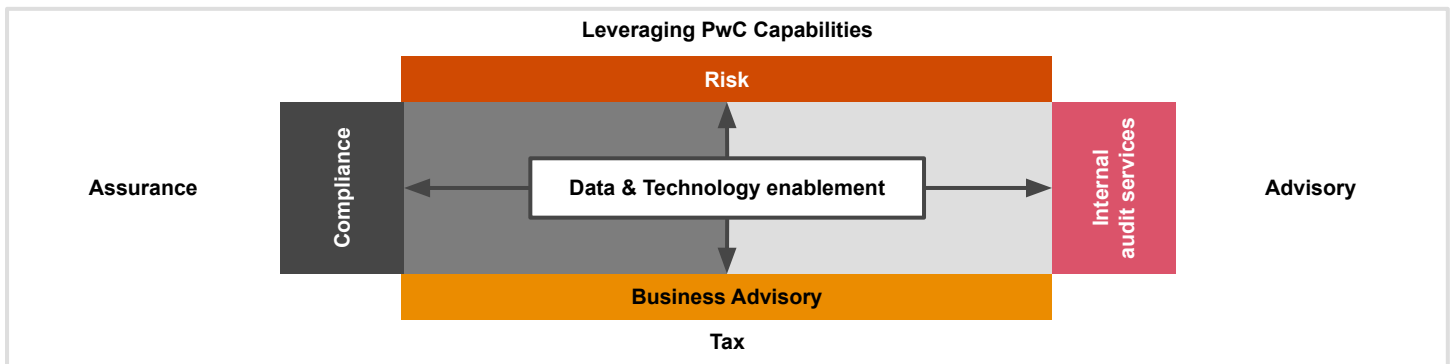


PwC's Risk Assurance practice within the Caribbean region consists of over 50 dedicated professionals with significant industry and technical experience in a broad range of risk and compliance areas. Our Risk Assurance practice can assist in determining effective risk management solutions to help meet a company's strategic objectives:

- Leverage industry and technical experience to help manage business risks effectively.
- Enhance Internal Audit functions so that they align to a company's strategy and risk.
- Increase value delivered by the IA function to the overall organisation through strategic co-sourcing and outsourcing solutions.
- Respond to stakeholder requests for transparency and assurance related to financial, operational, and compliance controls.
- Identify untapped opportunities to effectively mitigate risk and improve business performance.
- Apply Enterprise Risk Management concepts to help identify, assess, mitigate, and proactively counter emerging risks.
- Provide in depth process and technology experience addressing financial and operational risks.



Our services help clients' navigate their risk ecosystem



Data & Technology Enablement

- ERP Controls
- IT Risk & Security/Privacy
- Project Assurance
- Data Analytics



Risk

- Enterprise Risk Management
- Risk Assessment
- Risk Mitigation
- Strategy Review



Internal audit services

- Sourcing
- Staff Augmentation
- Internal Audit Process Improvement
- TeamMate



Business Advisory

- Process Assurance
- Data Assurance



Compliance

- Corporate Compliance Programmes
- Regulatory Compliance (e.g. AML)
- Corporate Compliance Programme Risk Assessment

Business Resilience Solutions



Risk Assurance Leader: Bruce Scott

PwC's Business Resilience Solutions offers end-to-end solutions which bring the right blend of resources and a new focus on performance – a powerful combination – to help companies achieve business objectives and go far beyond checklists. Our Business Resilience Solutions team is focused on empowering GRC functions by helping clients anticipate and mitigate risks and support their strategic business objectives.



Service



Description/Issues



Impact

Enterprise-wide risk management services

Risk Management Framework Build	Developing customised frameworks based upon the client's unique risk profile and needs, and helping them to efficiently execute their risk management programme	<ul style="list-style-type: none"> Alignment of risk programmes, metrics, and functions with corporate strategy Consistent approach to identifying, analysing, and responding to risk Transfer of risk management knowledge to clients' staff
Risk Assessment (Enterprise, Business Unit, Emerging)	Assisting clients with their approach, planning, and execution of the risk assessment process. This is performed at the enterprise level, business unit level, and/or focused on emerging risks	<ul style="list-style-type: none"> Resolution of capability gaps arising from technology transformation Strengthened processes and systems to identify and monitor risk

Regulatory compliance and anti-money laundering

Regulatory Compliance Assessment	Perform assessment of the entity's compliance with regulations	<ul style="list-style-type: none"> Assessment against regulatory requirements Recommendations for improvement in regulatory compliance procedures and controls
Corporate Compliance Programme Build	Assisting clients with the evaluation and build out of their corporate compliance programmes	<ul style="list-style-type: none"> Help clients develop policies, guidelines, handbooks, and support tools

Business continuity management services

Business Continuity Management Programme Assessment	Assessing relevant elements of the business continuity management programme and providing business continuity management training	<ul style="list-style-type: none"> Pragmatic, actionable, and risk-ranked improvement items and implementation road maps which enhance the business continuity and IT disaster recovery programmes Knowledge transfer and increased confidence of staff in performing BCM activities
Business Continuity Management Programme Testing, Maintenance and Training	Developing and facilitating of business continuity plans that are aligned with relevant risks	<ul style="list-style-type: none"> Sustainable business continuity programmes are created and maintained Aligned continuity and crisis management exercises to relevant risks and assess crisis event preparedness Increased awareness and familiarity of personnel with their roles and responsibilities
Disaster Recovery Planning	Assisting IT in developing supporting disaster recovery plans surrounding restoring critical IT services, infrastructure and applications	<ul style="list-style-type: none"> Align the IT disaster recovery programme with the organisation's requirements for technology resiliency and recoverability

Fraud risk and controls

Fraud Risk & Controls Reviews	Performing fraud risk assessments to identify vulnerability to fraud and performing fraud audits in high risk areas to help detect fraud early	<ul style="list-style-type: none"> Advice on the prevention of fraud and appropriate fraud response measures Detection of fraud before it becomes a major problem
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For a deeper conversation, contact:



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